

IN THE U.S. PATENT AND TRADEMARK OFFICE  
Patent Application Transmittal Letter

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a(n): ☒ Utility ☐ Design

☒ original patent application,

☐ continuation-in-part application

INVENTOR(S): Robert J. Gallagher et al.

TITLE: INTELLIGENT APPARATUS, SYSTEM AND METHOD FOR FINANCIAL  
DATA COMPUTATION AND ANALYSIS

Enclosed are:

☐ The Declaration and Power of Attorney. ☐ signed ☐ unsigned or partially signed

☒ 11 sheets of drawings (one set) ☐ Associate Power of Attorney

☐ Form PTO-1449 ☒ Information Disclosure Statement and Form PTO-1449

☐ Priority document(s) ☐ (Other) (fee \$ )

CLAIMS AS FILED BY OTHER THAN A SMALL ENTITY				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) TOTALS
TOTAL CLAIMS	18 — 20	0	X	\$0.00
INDEPENDENT CLAIMS	18 — 3	15	X	\$1,200.00
ANY MULTIPLE DEPENDENT CLAIMS				\$
BASIC FEE: Design ( ); Utility ( X )				\$710.00
TOTAL FILING FEE				\$1,910.00
OTHER FEES				\$
TOTAL CHARGES TO DEPOSIT ACCOUNT				\$1,910.00

Charge \$1,910.00 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

"Express Mail" label no. ET487068194 US

Date of Deposit November 26, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231, U.S. Pat. and Trad. Off., P.O. Box 2327, Arlington, VA 22202

By Grant E. Pollack  
Typed Name: Grant E. Pollack

Respectfully submitted,

By Grant E. Pollack  
Grant E. Pollack

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Date: November 26, 2001

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